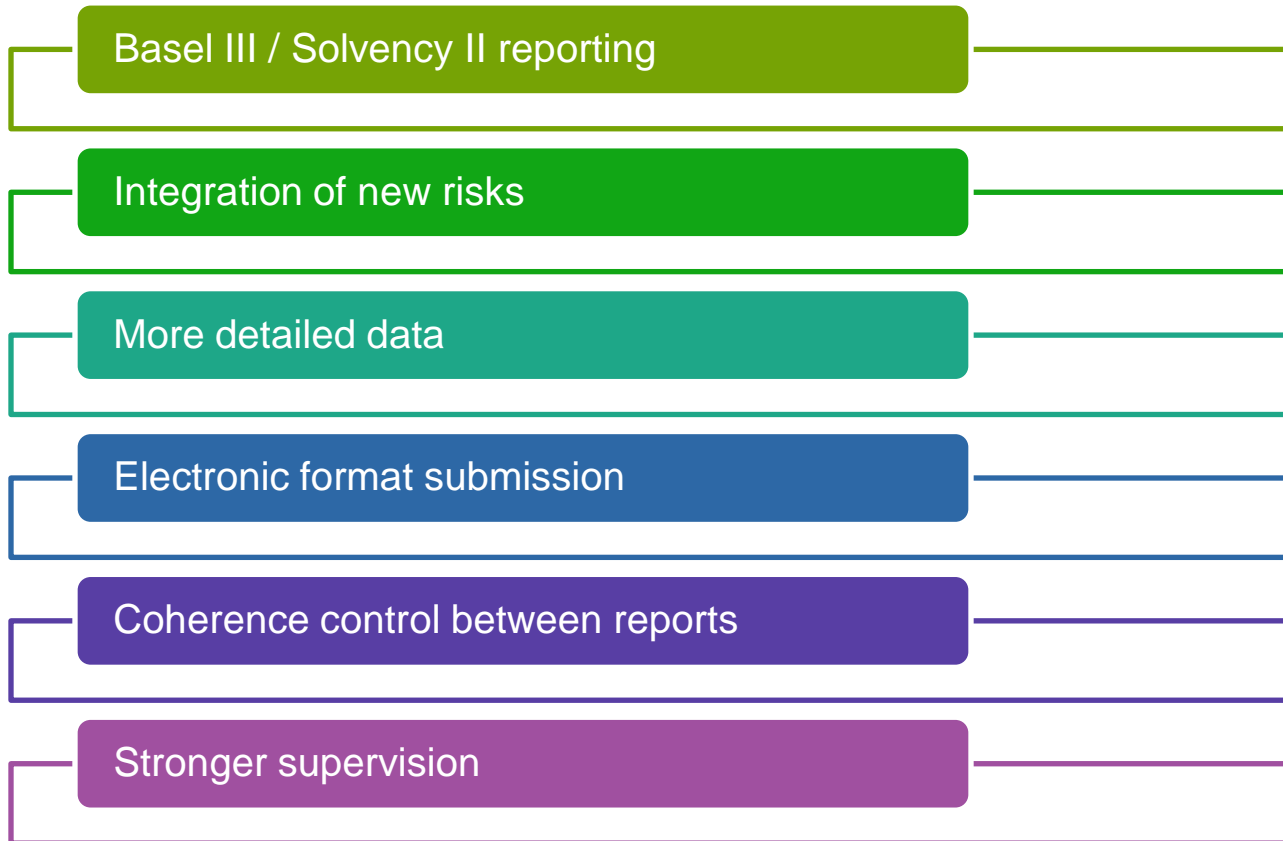


Increasing operational effectiveness: implications for regulatory reporting

New trends in regulatory reporting



Best Practices on Regulatory Reporting

Automated Solution

- Excel and XBRL
- Local reports delivered
- Regulatory follow-up

Local vs. Consolidated Reports

- Intragroup detection and elimination

Reporting audit

- Possibility to drill down from the reporting cells to the underlying components

Validity Checks

- Regulatory checks intra and inter reports delivered in standard
- Possibility to create custom checks

Reporting Workflow

- Assignment of different status per report
- Possibility to attach explanatory files
- View of the workflow history

Reporting Adjustments

- Manual adjustments on the reporting underlying data
- Full audit trail maintained

Management reporting

- User-friendly tool
- Multiple types of reports (pivot table, graphs)

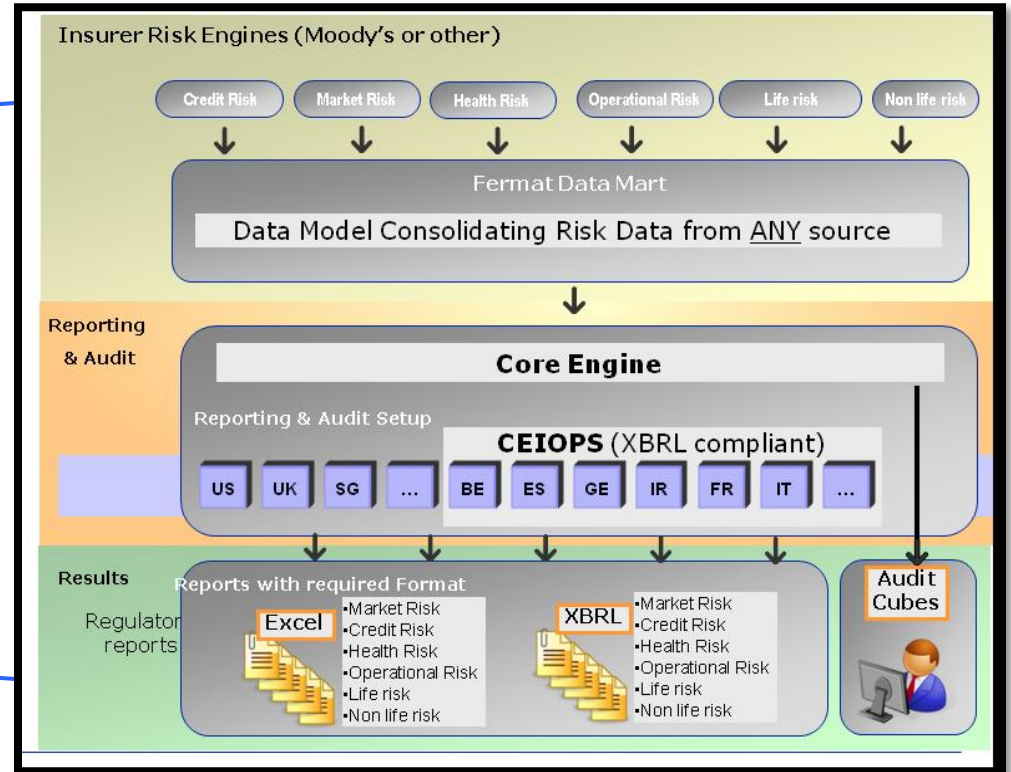
Automated solution

Challenges:

- ✓ Significant increase in reporting requirements
- ✓ Frequency

Best Practices:

- ✓ Automated system



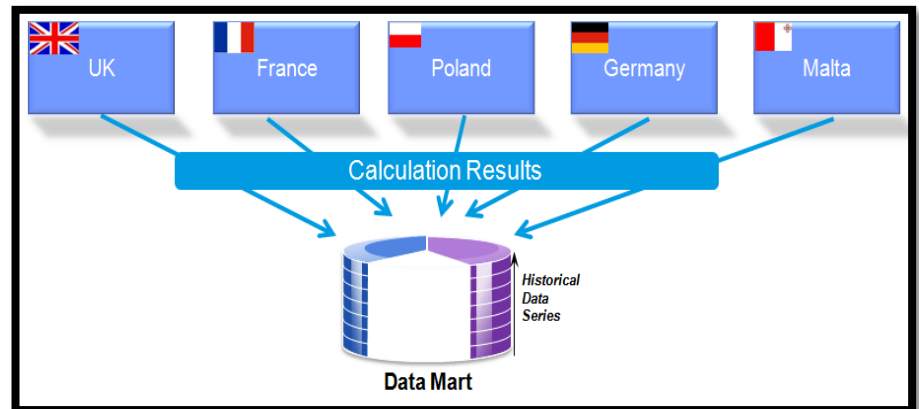
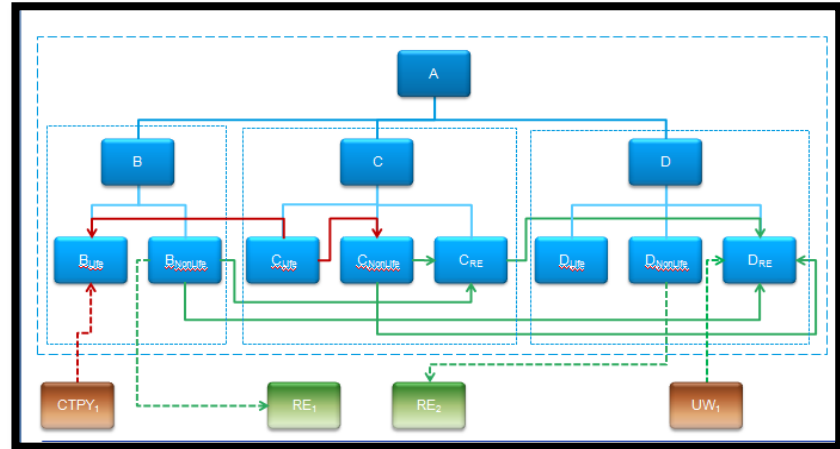
Local vs. Consolidated Reports

Challenges:

- ✓ Intra group transaction management

Best Practices:

- ✓ Intra group detection
- ✓ Intra group elimination
- ✓ Intra group reporting



Reporting audit

Home Reports Summary Audit Report: SII EIOPA SCR - B3A

Maximize Report | Maximize Cube | Reset

Excel Report

SCR - B3A

Zoom Click on a cell to audit

	A	B	C	D
11				
12		Equity risk		
13		type 1 equities	325961	183478
14		type 1 equity	325961	
15		strategic participations (type 1 equities)	325961	
16		duration-based (type 1 equities)	325961	
17		type 2 equities	325961	183478

CONTRACT_REF	Formula
4	0
5	0
j	325,960,539

Challenges:

✓ Ability to justify to supervisors how the report cells were produced

Best Practices:

✓ ON-line audit trail with drill-down capability

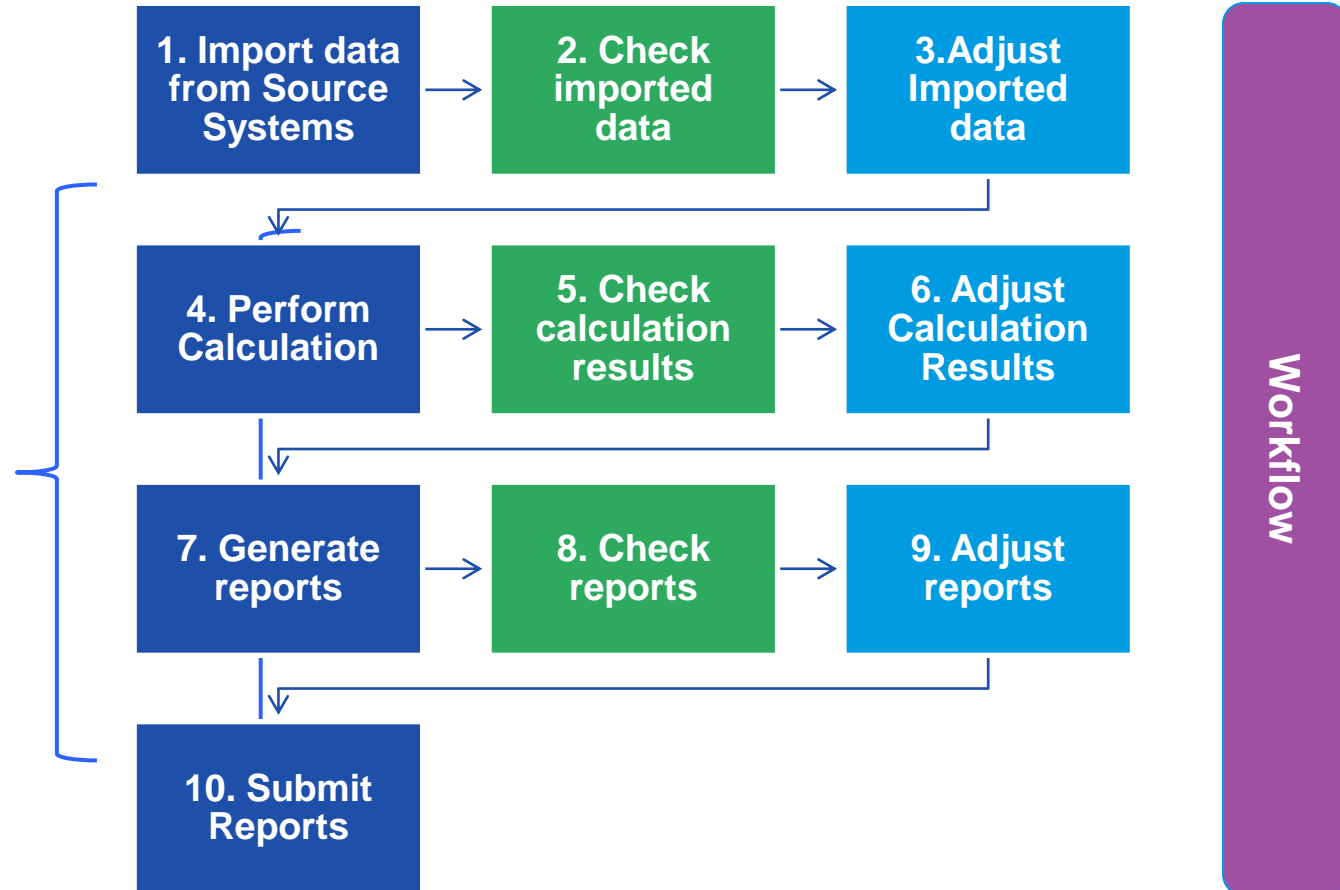
Reporting Workflow

Challenges:

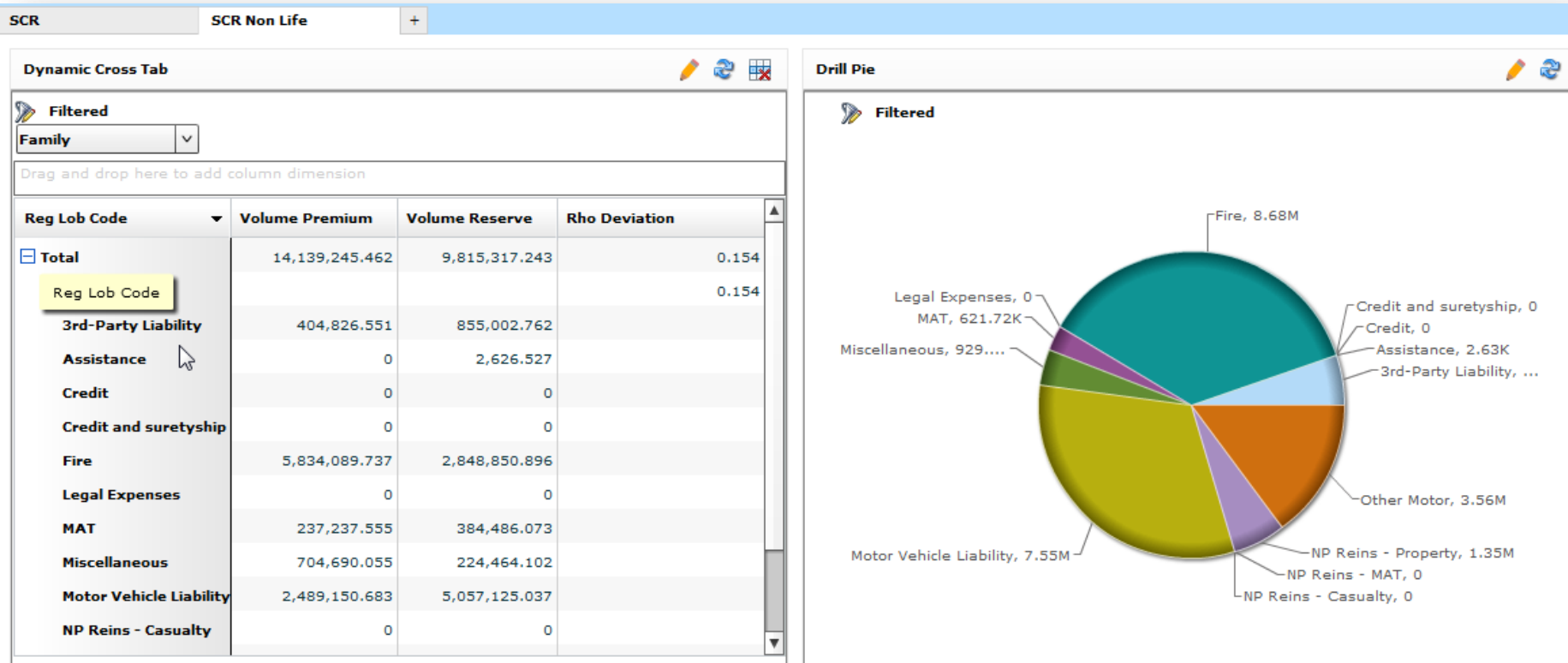
- ✓ Managing the regulatory reporting process

Best Practices:

- ✓ Integrated workflow engine



Management Reporting



Challenges:

- ✓ Capitalise on the data consolidation to obtain multiple views of the bank's data

Best Practices:

- ✓ Dashboard reporting tool

Thank you.



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