



DURATION

1 Day



DELIVERY CHANNEL

Virtual
Instructor-Led

OR

In-Person
Classroom



PROGRAM LEVEL

Intermediate
but can be customized
further

PREREQUISITES

None

ADVANCED PREPARATION

None

Overview

This one-day program offers a comprehensive introduction to Fraud. Banks are increasingly under scrutiny from regulators, investors, depositors and counterparties for compliance failings. The reputational, human and financial cost of such failings are widespread and significant. All bank employees need to be aware of the importance of compliance associated with Fraud. Inexperienced employees may unknowingly expose their organization and themselves to the significant risks associated to Fraud. This course will provide a basic level of knowledge to ensure vigilance within your organization, and externally within your portfolios and clients. Whilst the course is introductory, it can be customized to meet your requirements in terms of complexity.

This highly interactive course will be delivered in 2 sessions of 3 hours each. These could be over consecutive or alternate days with a one-hour lunch break and two 15 minute coffee breaks on each day.

Learning Objectives

BY THE END OF THIS COURSE, YOU WILL BE ABLE TO:

- » Identify all types of Internal and External Fraud
- » Recognize early warning signs of fraud
- » How to detect and prevent fraud
- » Understand the Fraud Triangle
- » Comprehend the impact of Tipping Off
- » Distinguish between Market Misconduct and Market Abuse

Who Should Attend?

- » New starters, or anyone wishing to improve their understanding of the compliance risks facing bank employees

Virtual Delivery

You are able to access virtual courses from any location. Some courses, however, include region-specific content based on the host region. For example, a course beginning at 10 AM EST may include references to GAAP versus IFRS, since the course is being hosted in the Americas. Please contact us at learningsolutions@moodys.com for region-related content queries.

Course Detail

SESSIONS

1 Introduction

- 1 Introduction and Overview
- 2 Definition of Fraud
- 3 Fraud and the Law
- 4 Fraud Bodies
- 5 Quiz

2 Types of Fraud

- 6 Different types of Fraud
- 7 Types of Bank Fraud
- 8 Internal Fraud
- 9 Asset Misappropriation
- 10 Financial Statements
- 11 KYC / KYE
- 12 Group Exercise

3 Prevention of Fraud and Case Studies

- 13 Prevention of Fraud Incidents
- 14 Case Study 1
- 15 Red Flags
- 16 Early Warning Signs
- 17 Prevention & Detection
- 18 Case Study 2 & 3

4 Fraud Triangle

- 19 Why people commit fraud
- 20 Fraud Triangle – Motivation; Opportunity and Rationalisation
- 21 Case Study 4
- 22 Different types of Fraudsters
- 23 Case Study 5
- 24 Tipping Off
- 25 Case Study 6
- 26 Market Misconduct and Market Abuse
- 27 Summary

Why Moody's Analytics?

Current and Consultative

We work with you to understand the distinct needs of your organization to design, implement and track the performance of your learning programs from end-to-end, including skills assessment, program design, implementation, evaluation and enhancement.

Comprehensive Coverage

We offer a broad set of technical and soft skills programs that can be combined and adapted to the needs of your staff. Our areas of expertise include banking, finance, sales, fintech, negotiation and leadership development.

Unparalleled Expertise

In delivery, our people make the difference – combining deep experience with intellectual passion for content, and having earned superior academic credentials, they are committed to delivering outstanding quality.

Register Online

If you are interested in this program, visit us at www.moodyanalytics.com/learningandcertifications

TAILOR ANY COURSE FOR YOUR ORGANIZATION

Moody's Analytics offers customized training and eLearning solutions to help you maximize your training investment. Our hands-on approach benefits companies of all sizes and requirements – from those with just a few local employees to those with staff dispersed around the globe. Contact us to learn more and to work with one of our training consultants to design the right program for your organization.

GROUP DISCOUNTS

Special rates may be available for multiple course or group bookings. Please contact us for details.

FEES AND CANCELLATIONS

The fee listed is per participant. Course fees do not include tax, transportation or hotel accommodations. Payment must be received in full prior to the start of the course. Registrations may be canceled in writing via letter or email at least 30 days before the first date of the training for a full refund. Cancellations received less than 30 days in advance are eligible for substitution with another course, but fees will not be refunded. We reserve the right to cancel or reschedule courses at any time. For further information on our refund and complaint policy, please contact us.

Contact Us

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